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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

**81938-4299**

Total Pages

**49**

First Named Inventor or Application Identifier

**John G. CARMAN**

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- 1.
- ☒
- Fee Transmittal Form (e.g. PTO/SB/17)
- 
- (Submit an original and a duplicate for fee processing)

- 2.
- ☒
- Applicant claims small entity status
- 
- See 37 CFR 1.27.

- 3.
- ☒
- Specification Total Pages
- 38
- 
- (preferred arrangement set forth below)
- 
- Descriptive title of the Invention
- 
- Cross Reference to Related Applications
- 
- Statement Regarding Fed Sponsored R&D
- 
- Reference to Sequence Listing, a table, or a
- 
- computer program listing appendix
- 
- Background of the Invention
- 
- Brief Summary of the Invention
- 
- Brief Description of the Drawings (if filed)
- 
- Detailed Description
- 
- Claim(s)
- 
- Abstract of the Disclosure

- 4.
- ☒
- Drawing(s) (35 U.S.C. 113) Total Sheets
- 9

5. Oath or Declaration Total Pages
- 2
- 
- a.
- ☒
- Unexecuted (original or copy)
- 
- b.
- ☐
- Copy from prior application (37 CFR 1.63(d))
- 
- (for continuation/divisional with Box 18 completed)

- i.
- ☐
- DELETION OF INVENTOR(S)**
- 
- Signed statement attached deleting inventor(s)
- 
- named in the prior application, see 37 CFR
- 
- 1.63(d)(2) and 1.33(b).

- 6.
- ☐
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: **MAIL STOP PATENT APPLICATION**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

- 7.
- ☐
- CD-ROM or CD-R in duplicate, large table or Computer
- 
- Program (Appendix)

- 8.
- ☐
- Nucleotide and/or Amino Acid Sequence Submission
- 
- (if applicable, all necessary)
- 
- a.
- ☐
- Computer Readable Form (CRF)
- 
- b. Specification Sequence Listing on:
- 
- i.
- ☐
- CD ROM or CD-R (2 copies); or
- 
- ii.
- ☐
- Paper
- 
- c.
- ☐
- Statements verifying identity of above copies.

**ACCOMPANYING APPLICATION PARTS**

- 9.
- ☐
- Assignment Papers (cover sheet & document(s))
- 
- 10.
- ☐
- 37 CFR 3.73(b) Statement
- ☐
- Power of Attorney
- 
- (when there is an assignee)
- 
- 11.
- ☐
- English Translation Document (if applicable)
- 
- 12.
- ☐
- Information Disclosure Statement (IDS)/PTO-1449
- 
- ☐
- Copies of IDS Citations
- 
- 13.
- ☐
- Preliminary Amendment
- 
- 14.
- ☒
- Return Receipt Postcard (MPEP 503)
- 
- (Should be specifically itemized)
- 
- 15.
- ☐
- Certified copy of Priority Document(s)
- 
- (if foreign priority is claimed)
- 
- 16.
- ☐
- Non-publication Request Under 35 U.S.C.
- 
- 122(b)(2)(B)(i). Applicant must attach form
- 
- PTO/SB/35 or its equivalent
- 
- 17.
- ☐
- Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary
- 
- amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation-in-part (CIP) of prior co-pending U.S. Application No. 09/744,614, filed January 26, 2001, which claims priority to  
International Application No. PCT/US00/29905, filed October 30, 2000; and prior co-pending U.S. Application No. 09/576,623, filed  
May 23, 2000, which is a continuation of U.S. Application No. 09/018,875, filed February 5, 1998, now abandoned, which claims  
priority to U.S. Provisional Application No. 60/037,211, filed February 5, 1997.**The entire disclosure of the prior application(s) noted above, is/are considered a part of the disclosure of the accompanying  
application and is/are hereby incorporated by reference.****19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code

**CUSTOMER NO. 28765**

NAME			
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

Name (Print/Type)	Rodney J. Fuller (Reg. No. 46,714) for Allan A. Fanucci (Reg. No. 30,256)		
Signature	<i>Rodney J. Fuller</i>	Date	2/6/09

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Mail Stop Patent Application**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney Docket No.: 81938-4299

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): **John G. CARMAN**

Executed on: **Unexecuted**

Title of the Invention: **METHODS FOR STABILIZING AND CONTROLLING APOMIXIS**

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	38	-20	18	\$18.00	\$ 324.00
Independent	5	-3	2	\$86.00	\$ 172.00
Minimum Fee					\$ 770.00
Multiple Dependency Fee If Applicable (\$290.00)					\$ 0.00
<b>Total</b>					\$ 1,266.00
50% Reduction for independent inventor, nonprofit organization or small business concern (verified statement as to the applicant's status is attached)					-\$ 633.00
<b>TOTAL FILING FEE</b>					\$ 633.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 112(b).
- ☒ Priority of U.S. Provisional Application No. 60/037,211, filed February 5, 1997, U.S. Provisional Application No. 60/162,626, filed October 29, 1999, and International Application No. PCT/US00/29905, filed October 30, 2000, is claimed under 35 U.S.C. § 119.
- ☒ Please charge the required fees to Winston & Strawn LLP Deposit Account No. 50-1814. A copy of this sheet is attached.

Respectfully submitted,

Date 4/6/04

  
Rodney J. Fuller (Reg. No. 46,714)  
For: Allan A. Fanucci (Reg. No. 30,256)

**WINSTON & STRAWN LLP**  
Customer No. 28765

202-371-5904